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ADMISSIBILITY AND ELIGIBILITY

Once you have submitted a proposal, the Commission:

- checks it is **admissible** (complete and properly put together) and **eligible**
- asks independent experts to **evaluate** it.

Admissibility criteria

A proposal is admissible if it:

- is submitted via the official online submission system before the call deadline
- is complete – accompanied by the relevant administrative forms, proposal description and any supporting documents specified in the call
- is readable, accessible and printable

Furthermore, your proposal must not exceed the maximum number of pages indicated in the proposal template. The system will warn you of the consequence of submitting over-long proposals. (Excess pages will be watermarked or truncated).

Eligibility criteria

A proposal is eligible if:

- its contents are in line with the topic description in the call
- it involves enough of the right participants and meets [Standard eligibility criteria](#) and any other eligibility conditions set out in the call or topic page

The conditions specific to Marie Skłodowska-Curie Actions (MSCA) are described in the [MSCA section of the General Work Programme](#).

The conditions specific to European Research Council (ERC) grants are described in the ERC Work Programme: [Starting Grant](#), [Consolidator Grant and Advanced Grant](#), [Proof of Concept Grant](#)




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AWARD CRITERIA

Experts will evaluate on the basis of following criteria:



Excellence





Impact



Quality and efficiency
of the implementation

Templates of the forms that experts use to evaluate proposals are available on the topic conditions pages for each call. Horizon 2020 calls may have one or several topics each.



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SCORES

Experts score **each award criterion** on a scale from 0 to 5:

- 0 **Proposal does not meet the criterion at all** or cannot be assessed due to missing or incomplete information
- 1 **Poor** – serious weaknesses
- 2 **Fair** – goes some way to meeting criterion, but with significant weaknesses
- 3 **Good** – but with a number of shortcomings
- 4 **Very good** – but with a small number of shortcomings
- 5 **Excellent** – meets criterion in every relevant respect. Any shortcomings are minor



The maximum overall score is thus 15 (3x5), unless a weighting is applied.








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AWARD CRITERIA


Type of action	Excellence	Impact	Quality and efficiency of the implementation
	The following aspects will be taken into account, to the extent that the proposed work corresponds to the topic description in the work programme.	The extent to which the outputs of the project should contribute at the European and/or International level to:	The following aspects will be taken into account:
All types of action	Clarity and pertinence of the objectives; Credibility of the proposed approach.	The expected impacts listed in the work programme under the relevant topic	Coherence and effectiveness of the work plan, including appropriateness of the allocation of tasks and resources; Complementarity of the participants within the consortium (when relevant); Appropriateness of the management structures and procedures, including risk and innovation management.


  M E I N AWARD CRITERIA	Type of action	Excellence The following aspects will be taken into account, to the extent that the proposed work corresponds to the topic description in the work programme.	Impact The extent to which the outputs of the project should contribute at the European and/or International level to:	Quality and efficiency of the implementation The following aspects will be taken into account:
	Research and innovation; Innovation; Instrument SME	Soundness of the concept, including trans-disciplinary considerations, where relevant; Extent that proposed work is ambitious, has innovation potential, and is beyond the state of the art (e.g. ground-breaking objectives, novel concepts and approaches)	Enhancing innovation capacity and integration of new knowledge; Strengthening the competitiveness and growth of companies by developing innovations meeting the needs of European and global markets; and, where relevant, by delivering such innovations to the markets; Any other environmental and socially important impacts (not already covered above); Effectiveness of the proposed measures to exploit and disseminate the project results (including management of IPR), to communicate the project, and to manage research data where relevant.	

  MINISTERUL EDUCAȚIEI NAȚIONALE AWARD CRITERIA	Type of action	Excellence The following aspects will be taken into account, to the extent that the proposed work corresponds to the topic description in the work programme.	Impact The extent to which the outputs of the project should contribute at the European and/or International level to:	Quality and efficiency of the implementation The following aspects will be taken into account:
	Coordination & support actions	Soundness of the concept; Quality of the proposed coordination and/or support measures.	Effectiveness of the proposed measures to exploit and disseminate the project results (including management of IPR), to communicate the project, and to manage research data where relevant.	

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AWARD CRITERIA			
Type of action	Excellence	Impact	Quality and efficiency of the implementation
	The following aspects will be taken into account, to the extent that the proposed work corresponds to the topic description in the work programme.	The extent to which the outputs of the project should contribute at the European and/or International level to:	The following aspects will be taken into account:
ERA-NET Cofund	Level of ambition in the collaboration and commitment of the participants in the proposed ERA-NET action to pool national resources and coordinate their national/regional research programmes.	<p>Achievement of critical mass for the funding of trans-national projects by pooling of national/regional resources and contribution to establishing and strengthening a durable cooperation between the partners and their national/regional research programmes;</p> <p>Effectiveness of the proposed measures to exploit and disseminate the project results and to communicate the project.</p>	

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Type of action	Excellence	Impact	Quality and efficiency of the implementation
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Pre-commercial procurement Cofund/ Public procurement of innovative solutions Cofund	Progress beyond the state of the art in terms of the degree of innovation needed to satisfy the procurement need.	<p>Strengthening the competitiveness and growth of companies by developing innovations meeting the needs of European and global procurement markets</p> <p>Effectiveness of the proposed measures to exploit and disseminate the project results (including management of IPR), to communicate the project.</p> <p>More forward-looking concerted procurement approaches that reduce fragmentation of demand for innovative solutions</p>	





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AWARD CRITERIA

Note

Unless otherwise specified in the call conditions:


(a) **Evaluation scores will be awarded for the criteria**, and not for the different aspects listed in the above table. For full proposals, each criterion will be scored out of 5.


The threshold for individual criteria will be 3.

The overall threshold, applying to the sum of the three individual scores, will be 10.

(b) For Innovation actions and the SME instrument (phases 1 and 2), to determine the ranking, the score for the criterion 'impact' will be given a weight of 1.5.

(c) For the evaluation of first-stage proposals under a two-stage submission procedure, only the criteria 'excellence' and 'impact' will be evaluated. Within these criteria, only the aspects in bold will be considered. The threshold for both individual criteria will be 4.






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AWARD CRITERIA

Priority order for proposals with the same score

Unless the call conditions indicate otherwise, the following method will be applied.

- ✘ As part of the evaluation by independent experts, a panel review will recommend one or more **ranked lists for the proposals** under evaluation, following the scoring systems indicated above.
- ✘ A **ranked list will be drawn up for every indicative budget** shown in the call conditions.
- ✘ If necessary, the panel will determine a **priority order for proposals which have been awarded the same score within a ranked list**. Whether or not such a prioritisation is carried out will depend on the available budget or other conditions set out in the call fiche.






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AWARD CRITERIA

Priority order for proposals with the same score

The following approach will be applied successively for every group of ex aequo proposals requiring prioritisation, starting with the highest scored group, and continuing in descending order:

- (i) Proposals that address **topics** not otherwise covered by more highly-ranked proposals, will be considered to have the highest priority.
- (ii) These proposals will themselves be prioritised according to the scores they have been awarded for the **criterion excellence**. When these scores are equal, priority will be based on scores for the criterion **impact**. In the case of Innovation actions, and the SME instrument (phases 1 and 2), this prioritisation will be done first on the basis of the score for impact, and then on that for excellence.





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AWARD CRITERIA

Priority order for proposals with the same score

If necessary, any further prioritisation will be based on the following factors, in order:

- *size of budget allocated to SMEs;*
- *gender balance among the personnel named in the proposal who will be primarily responsible for carrying out the research*
- *and/or innovation activities.*

If a distinction still cannot be made, the panel may decide to further prioritise by considering how to enhance the **quality** of the project portfolio through synergies between projects, or other factors related to the objectives of the call or to Horizon 2020 in general. These factors will be documented in the report of the Panel.



- (iii) The method described in (ii) will then be applied to the remaining ex aequos in the group.

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GENDER AS CROSS-CUTTING ISSUE
Commitment to gender equality across all H2020 programmes

Main objectives integrated in the R&I cycle:

- ✓ Gender balance in **research teams** at all levels
- ✓ Gender balance in **decision making**
- ✓ Gender dimension in **research and innovation content** involving human beings

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GENDER AS CROSS-CUTTING ISSUE
Commitment to gender equality across all H2020 programmes

Emphasis on gender balance throughout the project cycle – Streamlined approach for all programmes

- Gender dimension to be addressed at proposal stage
 - e.g. composition of research teams /advisory boards,
 - gender dimension in research content,
 - encouragement to include trainings on gender in ITN
- Evaluators take gender dimension into account
- Signature of GA = commitment to aim for gender balance
- Obligatory project reporting includes achievements in terms of gender balance

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ETHICS REVIEW

- Research compliant with ethical principles
- Response to citizens' demand
- Challenge of media

Legal base:
Seventh Framework Programme (Decision N° 1982/2006/EC), Article 6 (1§):
No grant is given if may contravene ethical legislation or regulations (EU and/or national legislation and regulation)

Areas Excluded From Funding



- Human cloning for reproductive purposes.
- Modify the genetic heritage of human beings. (except research relating to cancer treatment of the gonads)
- Create human embryos solely for the purpose of research or stem cell procurement.

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ETHICS REVIEW

What is expected from the applicant?

- **Submits an ethics table and annex together with the application.**
http://cordis.europa.eu/fp7/ethics_en.html
- Describes the potential ethical aspects of the proposed research regarding its objectives, the methodology and the possible implications of the results.
- Explains how the ethical requirements set out in the work programme will be fulfilled.
- Indicates how the proposal meets the national legal and ethical requirements of the country where the research is performed, ensuring compliance with the EU ethics framework.
- Are encouraged to indicate which particular authorisations may be needed.

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Ethics check list

Informed Consent

- Does the proposal involve children?
- Does the proposal involve patients or persons not able to give consent?
- Does the proposal involve adult healthy volunteers?
- Does the proposal involve Human Genetic Material?
- Does the proposal involve Human biological samples?
- Does the proposal involve Human data collection?

Research on Human embryos/foetus

- Does the proposal involve Human Embryos?
- Does the proposal involve Human Foetal Tissue/Cells?
- Does the proposal involve Human Embryonic Stem Cells?

Privacy

- Does the proposal involve processing of genetic information or personal data (eg. health, sexual lifestyle, ethnicity, political opinion, religious or philosophical conviction)?
- Does the proposal involve tracking the location or observation of people?

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Ethics check list

Research on Animals



- Does the proposal involve research on animals?
- Are those animals transgenic small laboratory animals?
- Are those animals transgenic farm animals?
- Are those animals cloning farm animals?
- Are those animals non-human primates?

Research Involving Developing Countries

- Use of local resources (genetic, animal, plant, etc.)?
- Benefit to local community (capacity building ie access to healthcare, education, etc.)

Dual Use

- Research having potential military/terrorist application



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EVALUATION PROCEDURE

The evaluation procedure is described in detail in the [Guide for proposal submission and evaluation](#).

Each full proposal is **evaluated by at least three experts**, but in many cases more experts are needed who know about the full range of disciplines and sectors covered by the proposal.

For the first stage of two-stage procedures a minimum of two experts may be used in certain cases.

Individual evaluation
Experts work individually. They give a score for each criterion, with explanatory comments. These are communicated to you via the Participant Portal, in an Evaluation Summary Report (ESR).

Consensus group
After carrying out an individual evaluation, an expert will join other experts who have evaluated the same proposal in a consensus group, to agree on a common position, including comments and scores.

Each group is assisted by a moderator who seeks a consensus, impartially and ensures that each proposal is evaluated fairly, according to the evaluation criteria
The moderator is normally a Commission official.

Panel review
If there are insufficient funds to award grants to all proposals that achieve a qualifying score in the evaluation, a review panel will: review the scores and comments for all proposals within a call to check for consistency across the evaluations and if necessary, propose a new set of marks or revise comments, and resolve cases where evaluators were unable to agree.

